Substitute for form 1449A/PTO



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## **Application Number** Unassigned INFORMATION DISCLOSURE **Filing Date HEREWITH** STATEMENT BY APPLICANT First Named Inventor Brown, Jr., L. Carlton **Group Art Unit** Unassigned

(use as many sheets as necessary) **Examiner Name** Unassigned Sheet of 2 006969-022311 **Attorney Docket Number** 

				U.S. PATENT DOCUM	MENTS	
Examiner Initials *		U.S. Patent D	ocument			Pages, Columns, Lines,
	Cite No.1	Number	d Code <sup>2</sup> nown)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear
DRC.	1	5,848,401	A	Goldberg et al.	12/08/1998	
PC	2	5,884,277	A	Khosla	03/16/1999	
PRC	3	6,005,945	Α	Whitehouse	12/21/1999	
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Examiner Initials*	Cito	F	oreign Patent Doc	ument	Name of Patentee	Date of Publication of	Pages, Columns, Lines,	
	Cite No.1	Office	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	or Applicant of Cited Document	Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
PRC	4	PCT	WO00/7395	4 A2	Kara Technology, Inc	12/07/2000		1
		(	THER PRIOR	R ART NO	ON PATENT LITE	RATURE DOCUME	ENTS	

Examiner Signature	Cosinana, E	Date Considered	2-7-05	

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1 Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

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	Substitute for form	AAOB/RTO	<del></del>	Complete if Known	
			Application Number	Unassigned	
		TION DISCLOSURE	Filing Date	Gridosignos	
	STATEME	NT BY APPLICANT	First Named Inventor	Brown, Jr., L. Cartton	
			Group Art Unit	Unassigned	
	(use as	many sheets as necessary)	Examiner Name	Unassigned	
$\overline{}$	Sheet 2	of 2	Attorney Docket Number	006969-022311	

Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T2
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Examiner Signature	Cosimana, E.	Date Considered	2-7-05

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Substitute for form 1449A/PTO			Complete If Known			
				Application Number	09/902,479	
INFO	DRMATION	N DISCL	.OSŲRE	Filing Date	July 9, 2001	
STA	TEMENT E	BY APP	LICANT	First Named Inventor	Leon	
				Art Unit	2161	
	(use as many sheets as necessary)			Examiner Name	Unassigned	
Sheet	1	of	3	Attorney Docket Number	006969-022311US	

			U.S. PATENT D	OCUMENTS	
Examiner	Cite No.1	Document Number  Number Kind Code <sup>2</sup> (# known)	. Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
6 RC	AA	5,963,928	10-05-1999	Lee	
	AB	5,920,850	07-06-1999	Hunter et al.	
	AC	5,822,738	10-13-1998	Shah et al.	
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	AP	5,181,245	01-19-1993	Jones	
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	AR	4,949,381	08-14-1990	Pastor	<u> </u>
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	AT	4,853,865	08-01-1989	Sansone et al.	
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MC	BC	4,447,890	05-08-1984	Duwel et al.	

Examiner Signature	Cosimano	Date Considered	2-7-05

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<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Kind Codes of U.S. Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A/PTO Complete if Known **Application Number** 09/902,479 INFORMATION DISCLOSURE Filing Date July 9, 2001 STATEMENT BY APPLICANT **First Named Inventor** Leon Art Unit 2161 (use as many sheets as necessary) **Examiner Name** Unassigned 006969-022311US Sheet Attorney Docket Number

					<b>FOREIGN PA</b>	TENT DOCUME	NTS												
	Cite	For	eign Patent Docu	ment		Name of Patentee or	Pages, Columns, Lines, Where Relevant												
Examiner Initials*		No.1	Country Code <sup>3</sup>	Number <sup>4</sup> Kin	MM_DD_VVVV Applicant of Cited Passages or Re		ber <sup>4</sup> Publication Date Applicant of Cited Passage		nber <sup>4</sup> Publication Date Applicant of Cited Passages or		Applicant of Cited Passages or Relevant	MM-DD-YYYY Applicant of Cited Passages or Relevan	MM-DD-YYYY Applicant of Cited Passages or Relevan	MM-DD-YYYY Applicant of Cited Passages or Relevant	MM-DD-YYYY Applicant of Cited Passages or Relev		MM-DD-YYYY Applicant of Cited Passages or		Τ°
21	C	BD	EP	845 762	A2	06-03-1998													
,		BE	EP	825 565	A2	02-25-1998													
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J		ВН	wo	98/13790	Al	04-02-1998													
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Examiner Signature	Cosimano, E	Date Considered	2-7-05	

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<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Kind Codes of U.S. Patent Documents at <u>www.uspto.gov</u> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO Complete if Known **Application Number** 09/902,479 INFORMATION DISCLOSURE Filing Date July 9, 2001 STATEMENT BY APPLICANT **First Named Inventor** Leon **Art Unit** 2161 (use as many sheets as necessary) Unassigned **Examiner Name** Attorney Docket Number 006969-022311US Sheet af

Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	τi
ERC	BJ	"Information-Based Indicia Program (IBIP), Performance Criteria for Information-Based Indicia and Security Architecture for Closed IBI Postage Metering Systems (PCIBI-C)" 12 January 1999 (1999-01-12), United States Postal Service, dated January 12, 1999.	
	вк	"Information Based Indicia Program (IBIP) Indicium Specification," United States Postal Service, dated June 13, 1996.	
	BL	"Information Based Indicia Program Postal Security Device Specification, United States Postal Service, dated June 13, 1996	
	ВМ	"Information Based Indicia Program Host System Specification [Draft]," United States Postal Service, dated October 9, 1996	
	BN	"Information-Based Indicia Program (IBIP), Performance Criteria for Information-Based Indicia and Security Architecture for IBI Postage Metering Systems (PCIBISAIBIPMS)," United States Postal Service, dated August 19, 1998	
	ВО	United States Postal Service, "Performance Criteria For Information-Based Indicia And Security Architecture For Open IBI Postage Evidencing Systems," Information Based Indicia Program (IBIP), June 25, 1999 XP-002161216	
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ERC	BQ	FIBS PUB 140-1, Federal Information Processing Standards Publication, (January 11, 1994) Security Requirements for Cryptographic Modules, U.S. Department of Commerce, Ronald H. Brown, Secretary, National Institute of Standards and Technology; pp:1-51.	

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**GROUP 3600** 

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